# **Open Science** Key issues for action

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# Plan

- Changing role of scholarly publications
- Issues around open access
- Key issues for action

# Scholarly publishing

- Is increasingly important in researchers' careers
  - Publishing as signal of research activity
  - Peter Higgs published his last paper in 1979—he considered that he did not have anything to say anymore...
    - The Nobel Prize is not won by numbers of papers
- Growth in research evaluations based on bibliometrics
  - Replace or complement peer review
  - Impact factors and citations
  - Are the basis of most university rankings

### Total papers published, 1900-2023



## Corporate control of publishing

## Forbes magazine and Elsevier (1995)

- Elsevier, the largest publisher of scientific journals, would be "the internet's first victim".
- "The web had been created to bring academics together; now it offered them a way of sharing their research online for free. What need would anyone have for fusty, expensive journals?"

### Who controls scholarly journals?

### Percentage of papers controlled by top publishers



## Percentage of OA papers



## Percentage of OA papers



## Percentage of OA papers



## Preprinting policies of journals/publishers

	Ν.	
OApolicy	Publishers	%
Pre evaluated and post evaluation versions	497	32
Post evaluated version only	508	33
Pre evaluated version only	109	7
Forbidden	432	28

- At the level of journals: more than 85% allow it.
- IEEE, Springer, Elsevier, Wiley, Sage, American Physical Society allow self-archiving
- American Chemical Society, American Society of Mechanical Engineers (ASME) do NOT allow self-archiving

### OA and references

### Evans & Reimer, 2009



- Developing countries cite more OA
  - But that effect is decreasing

### OA and references

Basson et al., 2024





## OA and citations



# Average relative citations closed bronze hybrid gold green

### Global issues

## Percentage of OA papers, COVID and climate change



### Percentage of OA papers, COVID



## Percentage of OA papers, climate change



# Adverse effects : predatory publishers

- APCs as an acceptable practice
- For profit publishing as an acceptable practice
- Pressures to publish
- Common language
- Not limited to journals:
  - Conferences
  - Networking
  - Indicators

# OMICS example

- 700 journals covering all disciplines, but mostly concentrated in medicine
- About 80,000 articles since 2007
- Publication fees of 1200\$US on average
  - Potential revenuesof \$90M!!!
- Use knock-off impact factors
- In 2018, the US Federal Trade Commission has won a \$50M US lawsuit for deceptive practices (no peer review, no indexing)

# First strategy: rebranding

- From 2015, OMICS purchased several small editors, both predatory and emerging:
  - Londgom (Belgium and Spain)
  - o iMedPub LTD (UK)
  - o Hilaris (Belgium)
  - Trade Science (UK)
  - Pulsus Group (Canada)

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  - o Trade Science (UK)
  - Pulsus Group (Canada)
- Retrospective rebranding of OMICS journals as affiliated with those publishers
- A publisher with <u>no reputation</u> is better than an editor with a <u>bad reputation</u>

# Advances in Pharmacoepidemiology & Drug Safety

• First issue in 2012 under OMICS

# Advances in Pharmacoepidemiology & Drug Safety (october 2013)

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Aims and Scope

# Advances in Pharmacoepidemiology & Drug Safety (october 2013)



Press Relea

Sheryl L Szeinba

American Societ Pharmacists



# ISSN: 2167-1052



Contact



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Aims and Scope

# Advances in Pharmacoepidemiology & Drug Safety

- First issue in 2012 under OMICS
- OMICS logo removed in 2015

# Advances in Pharmacoepidemiology & Drug Safety (february 2015)

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# Advances in Pharmacoepidemiology & Drug Safety (february 2015)



# Advances in Pharmacoepidemiology & Drug Safety

- First issue in 2012 under OMICS
- OMICS logo removed in 2015
- Rebranding under Longdom in 2019

# Advances in Pharmacoepidemiology & Drug Safety (january 2021)



# Advances in Pharmacoepidemiology & Drug Safety (january 2021)



# Advances in Pharmacoepidemiology & Drug Safety

- First issue in 2012 under OMICS
- OMICS logo removed in 2015
- Rebranding under Longdom in 2019
- When founded, the journal had two editors in chief: Robert H. Howland (University of Pittsburgh) and Richard L. Slaughter (Wayne State University).
- When the journal became an Longdom imprint in 2019, Slaughter became the sole editor in chief. The only issue is that he died in 2016!

# Rebranding Number of papers by imprint



# Second strategy: hijacking

- Plagiarized articles (and authors) from legitimate journals
- Journal of Bone Research and Reports copies the content from Bone Reports (Elsevier)
- Three cases:
  - o Identical copy
  - Transated and retranslated copy
  - Unrelated content!!
- Aims to fill in the journal with what appears as legitimate content

#### Journal of Bone Research and Reports

#### MRI-derived bone consistency index correlates to bone composition and mechanical stiffness

Abigail L. Honga, Mikayel Ispiryana, Mugdha V. Padalkarb, Brandon C. Jonesa, c, Alexandra S. Batzdorfa, Snehal S. Shetyec, urban center Pleshkob, Chamith S. Rajapaksea, c,

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#### ABSTRACT

The MRI-derived consistency index (PI) could be a non-invasively obtained biomarker supported Associate in Nursing ultrashort echo time sequence that pictures each certain and pore water protons in bone, adore water guaranteed to organic scleroprotein matrix and freely moving water, severally. This live is understood to powerfully correlate with the particular meter animal tissue bone consistency. However, it's unknown whether or not PI might also be able to directly quantify bone organic composition and/or mechanical properties. we tend to investigated this in human body tibiae by scrutiny PI values to close infrared spectral imaging (NIRSI) integrative knowledge and mechanical compression knowledge. knowledge were obtained from a cohort of eighteen tibiae from male and feminine donors with a mean ± Mount Rushmore State age of seventy ± twenty one years. Biomechanical stiffness in compression and NIRSI-derived albuminoid and certain water content all had vital inverse correlations with PI (r = -0.79, -0.73, and -0.95 and p = zero.002, 0.007, and < 0.001, respectively). The MRI-derived bone PI alone was a moderate predictor of bone stiffness (R2 = zero.63, p = 0.002), and variable analyses showed that neither animal tissue bone crosssectional space nor NIRSI values improved bone stiffness prediction compared to PI alone. However, NIRSI-obtained albuminoid and water knowledge along were a moderate predictor of bone stiffness (R2 = zero.52, p = 0.04). Our knowledge validates the MRI-derived consistency index as a powerful predictor of organic composition of bone and a moderate predictor of bone stiffness, and conjointly provides preliminary proof that NIRSI measures could also be helpful in future pre-clinical studies on bone pathology.

2019

Bone fractures cause a high risk to the aging and unhealthy population, and assessments of bone mineral density (BMD) ar usually accustomed determine a patient's risk of fracture. for instance, various studies have shown that ladies with low bone density within the radius or bone ar at magnified risk of hip fracture, resonance imaging (MRI) ultrashort echo time (UTE) is a picture acquisition protocol that has incontestible hefty capability for imaging bone.

The borderline sample preparation, non-destructive nature of the scan, and relative speed of NIRSI makes it a perfect technique for investigation of changes in water content, distribution, and surroundings in pre-clinical studies of bone pathology and medical specialty.

Here, we tend to speculate that animal tissue PI will give correct measurements of bone organic material composition compared to NIRSI knowledge, we tend to additional speculate that,

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Bone Reports Volume 11, December 2019, 100213



#### MRI-derived bone porosity index correlates to bone composition and mechanical stiffness

Abigail L. Hong <sup>a</sup>, Mikayel Ispiryan <sup>a</sup>, Mugdha V. Padalkar <sup>b</sup>, Brandon C. Jones <sup>a</sup>, <sup>c</sup>, Alexandra S. Batzdorf<sup>a</sup>, Snehal : Shetye <sup>c</sup>, Nancy Pleshko <sup>b</sup>, Chamith S. Rajapakse <sup>a</sup>, <sup>c</sup> 은 🖂

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#### Abstract

The MRI-derived porosity index (PI) is a non-invasively obtained biomarker based on an ultrashort echo time sequence that images both bound and pore water protons in bone, corresponding to water bound to organic collagenous matrix and freely moving water, respectively. This measure is known to strongly correlate with the actual volumetric cortical bone porosity. However, it is unknown whether PI may also be able to directly quantify bone organic composition and/or mechanical properties. We investigated this in human cadaveric tibiae by comparing PI values to near infrared spectral imaging (NIRSI) compositional data and mechanical compression data. Data were obtained from a cohort of eighteen tibiae from male and female donors with a mean ± SD age of 70 ± 21 years. Biomechanical stiffness in compression and NIRSI-derived collagen and bound water content all had significant inverse correlations with PI (r=-0.79, -0.73, and -0.95 and p=0.002, 0.00and <0.001, respectively). The MRI-derived bone PI alone was a moderate predictor of bone stiffness ( $R^2$ =0.63, p=0.002), and multivariate analyses showed that neither cortical bone cross-sectional area nor NIRSI values improved bone stiffness prediction compared to PI alone. However, NIRSI-obtained collagen and water dat

# Hijacking: translating English into English

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# What do we need to do?

- Support collective infrastructures
  - Financial investments
  - Community engagement
- Reform research assessment
  - This is EVERYONE's responsibility
  - What roles for indicators? And which ones?
- Develop coherent policies
  - Acknowledge disciplinary / national differences in evaluation systems, publication practices









**Open Science Impact Pathways** 

### **EVIDENCE OF OPEN SCIENCE IMPACT: FINDINGS, CHALLENGES, PROSPECTS**

TONY ROSS-HELLAUER, KNOW-CENTER GMBH & TU GRAZ Oxford Research on Research Webinar 25 April 2024



## What is Open Science?

Per 2021 UNESCO Recommendation on Open Science, Open Science aims to:

- "make multilingual scientific knowledge openly available, accessible and reusable for everyone"
- "increase scientific collaborations and sharing of information for the benefits of science and society"
- "open the processes of scientific knowledge creation, evaluation and communication to societal actors beyond the traditional scientific community."



unesco

UNESCO Recommendation on Open Science

https://unesdoc.unesco.org/ark :/48223/pf0000379949





Are our plans working?



What are the longer-term consequences of not only making things open, but the ways we are going about it? On unintended consequences and "grimpact"



# How are we monitoring Open Science?

- Is Open Science achieving its aims?
- How is this routinely measured?
- Primary focus on *uptake*, not *impact*
  - How do we know if the intended longer-term consequences of transition to Open Science are actually being realised?
  - This work often left to we researchers ...

#### Example: French Open Science Monitor



## **Open = equitable?**

On Merrit.eu/

- Open Science is not a unified ideology but a diverse bunch of principles and practices
- Collective benefit, equity, inclusivity are often stated as core aims, but just because things are "open" will not necessarily ensure this
- Factors like region, gender, discipline and access to resources will continue to shape the possibilities of participation in an Open Science world
- There are various routes to implementation of Open Science; the "how" is crucially important





# Q. Might Open Science be at risk in some cases of reinforcing existing privileges or creating new ones?

#### ROYAL SOCIETY OPEN SCIENCE

royalsocietypublishing.org/journal/rsos



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Check for

**Cite this article:** Ross-Hellauer T, Reichmann S, Cole NL, Fessl A, Klebel T, Pontika N. 2022 Dynamics of cumulative advantage and threats to equity in open science: a scoping review. *R. Soc. Open Sci.* **9**: 211032. https://doi.org/10.1098/rsos.211032

Received: 14 June 2021 Accepted: 15 December 2021 Dynamics of cumulative advantage and threats to equity in open science: a scoping review

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Ope	en Science holds the promise to make scientific endeavours					

Open Science holds the promise to make scientific endeavours more inclusive, participatory, understandable, accessible and re-usable for large audiences. However, making processes open will not *per* se drive wide reuse or participation unless

## Scoping review of 268 relevant studies

Threats identified:

- Costs of participation
- Cumulative nature of data inequalities
- Lack of reward structures
- Exclusion of societal voices
- Platform-logics
- Discriminatory OA APC businessmodel



# Institutional resources and APCs are linked

- Bibliometric study sampling 1.5 million journal articles
- Data from OpenAlex, DOAJ, CWTS Leiden Ranking, World Bank
- Researchers from better resourced institutions publish more APC-based OA and pay higher APCs
- OA publishing involving APCs is creating a new barrier for who publishes where
  - Voices from societies and communities less embedded in global science are further marginalised
  - Global issues need global perspectives, APC-OA is leading to the opposite
  - Existing inequities are amplified (citation advantage, future reward structures)



### onsmerrit

The APC-barrier and its effect on stratification in open access publishing

> Thomas Klebel<sup>1</sup><sup>(2)</sup> and Tony Ross-Hellauer<sup>1,2</sup><sup>(2)</sup> <sup>1</sup>Open and Reproducible Research Group, Know-Center Genbil, Goz, Atabia <sup>2</sup>Open and Reproducible Research Group, Graz University of Technology, Graz, Atabia

Klebel, Thomas & Tony Ross-Hellauer; The APC-barrier and its effect on stratification in op en access publishing. *Quantitative Science Studies* 2023; 4 (1): 22–43. doi: <u>https://doi.org/ 10.1162/qss a 00245</u>



Open Science Impact Pathways

# So what are the impacts of Open Science?

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(And how are we monitoring them for the longer term?)



Oxford Research on Research Webinar 25.4.24

## **PathOS literature review**

#### **Primary objective**

To establish what evidence exists in the literature regarding the (1) academic, (2) societal, and (3) economic impacts of Open Science.

#### **Secondary objectives**

- Synthesise knowledge on types of impacts
- Specific enabling and/or inhibiting factors, any negative impacts
- Trade-offs amongst types of impact
- Notes on quality assessment (e.g., causality vs. correlation, methodological weaknesses)

Study preregistered on OSF on 31 October 2022 <u>https://osf.io/m4rnc</u>

#### Search keywords

HIGH-LEVEL CONCEPT	ELEMENT OF	Імраст	ACADEMIC IMPACT	SOCIETAL IMPACT	Εςονομίς Ιμράςτ
Lower- level concepts	Open Science Open Access Open/FAIR Data Open Methods Open Code Citizen Science Open Evaluation	Effect Outcome	Efficiency Productivity Quality Education Reproducibility Reuse Citations Collaboration Equity, Diversity and Inclusion	Societal impact Trust Education/understanding Engagement Government policy Sustainable Development Goals Environment/climate Health COVID Participation	Economic impact Financial/monetary impact Cost/benefit analysis Input-output modelling Return on investment Productivity Innovation Patenting New products/services
Search terms	"open scien*" "science 2.0" "FAIR data" "open access" "open code" "citizen science" "open peer review" OR "open metric*"	impact* effect* outcome*	quality citation* integrity equi* collaborat* trust efficien* re-us* OR reus* productiv*	engag* educat* trust polic* sdg OR "sustainable development goal*" gender diversit* health environment* OR climat* covid* OR coronavirus* participat*	econom* financ* cost* mone* cba bca "input-output" "return on investment" "patent*" "innovation*" "efficiency gain*" "saving" "product*"



## **Methods**



T REPORTING OF SYSTEMATIC

Studies followed Preferred Reporting Items for Systematic Nevrews and Meta-ANALYSES Extension for Scoping Reviews (PRISMA-ScR) methodology

- Step 1: identify relevant studies
  - Initial search for academic literature in Scopus and Web of Science Ο
  - Snowball search using OpenAlex API Ο
  - Targeted web search for grey literature Ο
- Step 2: selection of eligible studies by screening titles, abstracts, then full-texts Paper must provide evidence of academic, societal OR economic impact of Open Science generally, or from Open Access, Open/FAIR Data, Open Methods, Open Code, Citizen Science OR Open Evaluation
- Step 3: data extraction from included studies
  - Key information: methods, findings, type of impact, aspect of OS
- Step 4: synthesis of data and reporting
  - Pre-registered protocol: https://osf.io/m4rnc
  - Preliminary results: <u>https://zenodo.org/records/10666427</u>
  - Final results reported in 3 separate papers

## Academic impact

- 311 included studies (after database search, over 400 after snowball/grey lit search)
- Main findings
  - o OA Citation Advantage: Large literature, but only partly convincing evidence
  - $\circ\,$  Evidence for Open Access APC model fostering inequalities
  - $\circ$  Open/FAIR Data associated with data reuse and a citation advantage for associated papers
  - o Positive effect of Open Data on computational reproducibility
  - Open Code and Software produce efficiency gains in software development and may also increase citations of associated papers.
  - $\circ\,$  Evidence that Citizen Science is increasing efficiency and scope of data collection
  - o Open peer review shows neutral to positive effects on review quality
- Main challenge
  - Often insufficient evidence to establish causal claims, in particular for citation advantage of Open Access and Open Data
- Paper in progress

## **Societal impact**

- 196 included studies
- Main findings
  - Majority of evidence pertains to Citizen Science (83.2% of papers), with some from Open Access (14.3%) and limited evidence from other OS aspects
  - Evidence shows impact in terms of education and awareness (57.1% of papers), climate and environment (49%), and engagement (32.1%)
    - Policy and governance (25.5%), equity and empowerment (18.4%), and health (16.8%)
- Challenges/evidence gaps
  - o Limited evidence of causation
  - $\circ\,\text{No}$  evidence of impact from Open/FAIR data identified
  - Questionable evidence of societal impact from Open Access (altmetrics)
  - o Difficult to measure and study societal impacts in the medium and long-term
- Paper preprinted and under journal review: <u>Cole et al. 2024</u>.

## **Economic impact**

- 70 included studies
- Main findings
  - Some evidence of cost savings (faster access to knowledge and avoiding duplication) as a direct economic impact
    - However little empirical evidence of positive benefits of Open Access and Open/FAIR data on industry
  - o Medical and biotech sectors show the greatest evidence of benefits from Open Science
  - Evidence stems largely from case studies (lack of models for broad economic assessments)
- Challenges/evidence gaps
  - Great difficulties in identifying either business (turnover/profits) or macroeconomic impacts (productivity increases/employment)
  - Lack of sufficient evidence for comparing similar cases; lack of transparency in internal accounting
     No standardised metrics to measure results on a project-by-project basis in companies
  - o Reluctance of companies to publish detailed data on research costs
  - o Limited interest/evidence on causation of economic impacts in public research
  - o More case studies and broader assessments are needed to allow for meta-analyses
- Paper in progress

Funded by the European Union

Pathos

## Challenges

- Lack of robust evidence, except in key areas
- Suggests we've been too focused on monitoring the uptake of Open Science, not its actual impacts
- Causality/correlation: difficulty of directly measuring relationships between interventions, outcomes, and impacts
- Lack of standards for defining and measuring OS impact
- Many case studies, often from those linked to initiatives (publication bias?)
- Streetlight effect measuring what's easy to measure
- Qualitative and mixed methods approaches are needed to study impact pathways and identify causal factors

Requires additional resources and funding



## **Publications and next steps**

- Initial report on database search results: Klebel, T., Cole, N. L., Tsipouri, L., Kormann, E., Karasz, I., Liarti, S., Stoy, L., Traag, V., Vignetti, S., & Ross-Hellauer, T. (2023). PathOS - D1.2 Scoping Review of Open Science Impact. Zenodo. <u>https://doi.org/10.5281/zenodo.7883699</u>
- Preprint of full results for societal impact: Cole, N. L., Kormann, E., Klebel, T., Apartis, S., & Ross-Hellauer, T. (2024, February 21). The societal impact of Open Science–a scoping review. <u>https://doi.org/10.31235/osf.io/tqrwg</u>
- Write-up of full results for academic and economic impact underway
- Zotero library available: <u>https://pathos-project.eu/os-impact-evidence-library</u>

## PathOS OS Indicator Handboo

### https://handbook.pathos-project.eu/

Par	Pachos
Open Sci	Open Science Indicator
Handbo	Handbook O P P
Untrodu	Introduction
Open	Open Science Academic Impact
Acadi	Societal Impact
Sci	Economic Impact
ke	

- Covers various aspects of quantifying impacts of Open Science
- First release covers Open Science uptake and reproducibility, academic, societal and economic impact to come
- If an indicator can be readily operationalised, we aim to provide ready-to-go recipes to support its implementation
- Also include more speculative indicators, not yet easily operationalised
- Includes opening chapter

Path@s Funded by the European Union



Email: tross@know-center.at

For more about the ON-MERRIT project: https://on-merrit.eu/



Oxford Research on Research Webinar 25.4.2 PathOS\_EU ->



